# Hardness of Approximation for Metric Clustering 

Karthik C. S.<br>(Rutgers University)

March $4^{\text {th }} 2022$

$0^{\circ}$

$$
\begin{aligned}
& 1566836894 \\
& 2202856557 \\
& 63880154 / 5 \\
& 2198033641 \\
& 7914992451 \\
& 3739367243 \\
& 3519744349 \\
& 0160528857 \\
& 5672970289 \\
& 0471266070
\end{aligned}
$$

Classifying Handwritten Digits

$$
\begin{array}{llllllllll}
1 & 5 & 6 & 6 & 8 & 3 & 6 & 8 & 9 & 4 \\
2 & 2 & 0 & 2 & 8 & 5 & 6 & 5 & 5 & 7 \\
6 & 3 & 8 & 8 & 0 & 1 & 5 & 4 & 1 & 5 \\
2 & 1 & 9 & 8 & 0 & 3 & 3 & 6 & 4 & 1 \\
7 & 9 & 1 & 4 & 9 & 9 & 2 & 4 & 5 & 1 \\
3 & 7 & 3 & 9 & 3 & 6 & 7 & 2 & 4 & 3 \\
3 & 5 & 1 & 9 & 7 & 4 & 4 & 3 & 4 & 9 \\
0 & 1 & 6 & 0 & 5 & 2 & 8 & 8 & 5 & 7 \\
5 & 6 & 7 & 2 & 9 & 1 & 0 & 2 & 8 & 9
\end{array}
$$



$$
28 \times 28
$$

grayscale image

## Clustering: Abstraction



## Clustering: Abstraction



## Clustering: Abstraction



Task of Classifying Input Data

## Clustering: Applications

© Reveal internal structure of data

- Clustering gene expression


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© Reveal internal structure of data

- Clustering gene expression
© Partition data
- Market segmentation


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© Partition data
- Market segmentation
© Data Preparation
- Summarize news


## Clustering: Applications

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- Clustering gene expression
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- Market segmentation
© Data Preparation
- Summarize news
© Data Exploration
- Underlying rules and Reoccurring patterns


## Clustering: Modeling

© $(\Gamma, \Delta)$ is a metric space

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$$
\text { - } C \subseteq \Gamma \text { and }|C|=k
$$

## Clustering: Modeling

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© Input: $X \subseteq \Gamma, k \in \mathbb{N}$
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- $C \subseteq \Gamma$ and $|C|=k$
- $\sigma: X \rightarrow C$


## Clustering: Modeling

© $(\Gamma, \Delta)$ is a metric space
© Input: $X \subseteq \Gamma, k \in \mathbb{N}$
© Output: A classification $(C, \sigma)$ :

- $C \subseteq \Gamma$ and $|C|=k$
- $\sigma: X \rightarrow C$
- $\sigma$ is good


## Clustering: Modeling

## Continuous Version

© $(\Gamma, \Delta)$ is a metric space
© Input: $X \subseteq \Gamma, k \in \mathbb{N}$
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## Clustering: Modeling

## Discrete <br> Continurus Version

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## Clustering: Modeling

## Discrete <br> Continurus Version

© $(\Gamma, \Delta)$ is a metric space
© Input: $X \subseteq \Gamma, k \in \mathbb{N}$ and $\mathcal{S} \subseteq \Gamma$
© Output: A classification $(C, \sigma)$ :
— $\delta$

- $C \subseteq \mathbf{X}$ and $|C|=k$
- $\sigma: X \rightarrow C$
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## What is Good Classification?

© $k$-means, $k$-median, $k$-center, min-sum, correlation clustering ...

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© $k$-center value of $(C, \sigma)$

$$
\max _{x \in X} \Delta(x, \sigma(x))
$$

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$$
\sum_{x \in X} \Delta(x, \sigma(x))^{2}
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© Don't fit: Facility Location, Hierarchical Clustering ...

## Computational Question

Given $(X, S, k)$ as input find a classification $(C, \sigma)$ that minimizes the Clustering objective

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Yes: There is classification $\left(C^{*}, \sigma^{*}\right)$, such that $\Lambda\left(X, \sigma^{*}\right) \leq \beta$

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Yes: There is classification $\left(C^{*}, \sigma^{*}\right)$, such that $\Lambda\left(X, \sigma^{*}\right) \leq \beta$
No: For all classification $(C, \sigma)$, we have $\Lambda(X, \sigma)>\beta$

## The Bitter Truth



NP-Hard

## Salvaging Bitterness



## Efficient Approximation

## Truth cannot be Salvaged



NP-Hard to Approximate

## Hardness of Approximation

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© Area studying such results: Hardness of Approximation

## New Computational Question

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Yes: There is classification $\left(C^{*}, \sigma^{*}\right)$, such that $\Lambda\left(X, \sigma^{*}\right) \leq \beta$
No: For all classification $(C, \sigma)$, we have $\Lambda(X, \sigma)>(1+\delta) \cdot \beta$
$k$-center

## $k$-center modeling

© Input: $X, S \subseteq \mathbb{R}^{d}, k \in \mathbb{N}$

## $k$-center modeling

© Input: $X, S \subseteq \mathbb{R}^{d}, k \in \mathbb{N}$
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- $C \subseteq S$ and $|C|=k$
- $\sigma: X \rightarrow C$
- $(C, \sigma)$ minimizes $\max _{x \in X}\|x-\sigma(x)\|_{p}$


# State-of-the-art: General Metrics 

© NP-hard [FPT81]

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© Poly Time 3-approximation (Gonzalez Algorithm)

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## State-of-the-art: $\ell_{p}$ Metrics

© $\ell_{1}$ and $\ell_{\infty}$ metrics

- Poly Time 3-approximation


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- Poly Time 3-approximation
- NP-Hard to approximate to 3 - o(1) factor! [FG88]
© Euclidean metric
- Poly Time 2.74-approximation! [NSS13]


## State-of-the-art: $\ell_{p}$ Metrics

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- Poly Time 2.74-approximation! [NSS13]
- NP-Hard to approximate to 2.65 factor [FG88]

Max Coverage:
© Input: Universe and Collection of Subsets $(U, \mathcal{S}, k)$

## Proof Overview: General Metrics

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## Theorem (Karp'72)

It is NP-hard to distinguish:

## Proof Overview: General Metrics

Max Coverage:
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## Theorem (Karp’72)

It is NP-hard to distinguish:
YES: Max Coverage is 1

## Proof Overview: General Metrics

Max Coverage:
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## Theorem (Karp'72)

It is NP-hard to distinguish:
YES: Max Coverage is 1
NO: Max Coverage is $<1$

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## Proof Overview: General Metrics

## Theorem (Karp'72)

It is NP-hard to distinguish:
YES: Max Coverage is 1
NO: Max Coverage is $<1$


## Theorem (Fowler-Paterson-Tanimoto'81)

Fix $\varepsilon>0$. Given input ( $X, S, k$ ). It is NP-hard to distinguish:
YES: There exists $\left(C^{*}, \sigma^{*}\right)$ such that max $\Delta\left(x, \sigma^{*}(x)\right) \leq 1$

$$
x \in X
$$

NO: For all $(C, \sigma)$ we have $\max \Delta(x, \sigma(x)) \geq 3$

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## $k$-means \& $k$-median

## $k$-means and $k$-median modeling

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## $k$-means and $k$-median modeling

๑ Input: $X, S \subseteq \mathbb{R}^{d}, k \in \mathbb{N}$
© Output: A classification (C, $\sigma$ ):

- $C \subseteq S$ and $|C|=k$
- $\sigma: X \rightarrow C$
- $k$-means: $(C, \sigma)$ minimizes $\sum_{x \in X}\|x-\sigma(x)\|_{p}^{2}$
- $k$-median: $(C, \sigma)$ minimizes $\sum_{x \in X}\|x-\sigma(x)\|_{p}$


## Exact Computation

© NP-hard when $k=2$ (Dasgupta'07)

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© W[2]-hard in general metric (Guha-Khuller'99)

## Approximation Algorithms

© General metric: $k$-means $\geq 9$
(Ahmadian-Norouzi-Fard-Svensson-Ward'17)

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- Poly time approximation $\approx 6.357$ (Ahmadian-Norouzi-Fard-Svensson-Ward'17)


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- Fixed Dimension: PTAS (Cohen-Addad'18)
- Fixed $k$ : PTAS (Kumar-Sabharwal-Sen'10)


## Hardness of Approximation

Discrete Version:

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© General metric: $k$-means $\approx 3.94$, $k$-median $\approx 1.74$ (Guha-Khuller'99)

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© $\ell_{2}$-metric: $k$-means $\ll 1.01, k$-median $\ll 1.01$ (Trevisan'oo)
© $\ell_{1}$-metric: $k$-means $\ll 1.01, k$-median $\ll 1.01$
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(0 $\ell_{\infty}$-metric: $k$-means $\ll 1.01, k$-median $\ll 1.01$ (Guruswami-Indyk'03)

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## Continuous Version:

$k$-means in Euclidean metric $<1.0013$
(Lee-Schmidt-Wright'17)

## Hardness of Approximation

## Discrete Version:

© General metric: $k$-means $\approx 3.94$, $k$-median $\approx 1.74$ (Guha-Khuller'99)
© $\ell_{2}$-metric: $k$-means $<\frac{1.73}{1.01}$, $k$-median $\ll 1.27$ (Trevisan'oo)
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## Continuous Version:

$$
\begin{gathered}
k \text {-means in Euclidean metric }<1.36 \\
\text { (Lee-Schmidt-Wright'17) }
\end{gathered}
$$

## Hardness of Approximation

## Discrete Version:

© General metric: $k$-means $\approx 3.94$, $k$-median $\approx 1.74$
(Guha-Khuller'99)
© $\ell_{2}$-metric: $k$-means $\ll 1.73,1.17,1$-median $\ll 1.27,1.06$ (Trevisan'oo)
© $\ell_{1}$-metric: $k$-means $\ll \frac{3.94,1.56}{1.91}$, $k$-median $\ll 1.73,1.14$
(Trevisan'oo)
© $\ell_{\infty}$-metric: $k$-means $\ll 1.01,3$ 3.94, 3.94 -median $\ll 1.73,1.73$ (Guruswami-Indyk'03)

## Continuous Version:

$$
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\end{aligned}
$$

## Our Results (Cohen-Addad-K'19,Cohen-Addad-K-Lee)

Discrete Version

|  | $k$-means <br> $(\mathrm{JCH})$ | $k$-median <br> $(\mathrm{JCH})$ | $k$-means <br> $(\mathrm{UGC})$ | $k$-median <br> $(\mathrm{UGC})$ |
| :--- | :---: | :---: | :---: | :---: |
| $\ell_{1}$-metric | 3.94 | 1.73 | 1.56 | 1.14 |
| $\ell_{2}$-metric | 1.73 | 1.27 | 1.17 | 1.06 |
| $\ell_{\infty}$-metric | 3.94 | 1.73 | $3.94^{*}$ | $1.73^{*}$ |

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$$
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A New Embedding Framework to potentially get Strong (tight?) Inapproximability results!

## Warm Up: General Metrics

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Fix $\varepsilon>0$. Given input ( $X, S, k$ ). It is NP-hard to distinguish:

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NO: For all $(C, \sigma)$ we have $\sum_{x \in X} \Delta(x, \sigma(x))^{2} \geq(1+8 / e-\varepsilon) \cdot|X|$

Max Coverage:
© Input: Universe and Collection of Subsets $(U, \mathcal{S}, k)$

## Proof Overview: General Metrics

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## Proof Overview: General Metrics

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## Theorem (Feige'98)

Fix $\varepsilon>0$. It is NP-hard to distinguish:
YES: Max Coverage is 1

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## Theorem (Feige'98)

Fix $\varepsilon>0$. It is NP-hard to distinguish:
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NO: Max Coverage is at most $1-1 / e+\varepsilon$

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## Proof Overview: General Metrics



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## Johnson Coverage Hypothesis



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## Johnson Coverage Hypothesis (Cohen-Addad-K-Lee)

Fix $\varepsilon>0$. It is NP-hard to distinguish:
YES: Max Coverage is 1
NO: Max Coverage is at most $1-1 / e+\varepsilon$
even when set system is induced subgraph of Johnson graph.

## Johnson Coverage Hypothesis

## $(\alpha, t)$-Johnson Coverage Problem

Given $E \subseteq\binom{[n]}{t}$, and $k$ as input, distinguish between:
Completeness: There exists $\mathscr{C}:=\left\{S_{1}, \ldots, S_{k}\right\} \subseteq\binom{[n]}{t-1}$ such that

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\forall T \in E, \exists S_{i} \in \mathscr{C}, S_{i} \subset T .
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Soundness: For every $\mathscr{C}:=\left\{S_{1}, \ldots, S_{k}\right\} \subseteq\binom{[n]}{t-1}$ we have

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\operatorname{Pr}_{T \sim E}\left[\exists S_{i}, S_{i} \subset T\right] \leq \alpha .
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## Johnson Coverage Hypothesis (Cohen-Addad-K-Lee)

$\forall \varepsilon>0, \exists t_{\varepsilon} \in \mathbb{N}$ such that $\left(1-\frac{1}{e}+\varepsilon, t_{\varepsilon}\right)$-Johnson Coverage problem is NP-hard.

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© 3-Hypergraph Vertex Coverage problem is NP-Hard to approximate to a factor of $7 / 8$

3 ingredients
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- Works only for JCH instances
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© Johnson Graph Embedding into $\ell_{p}$-metrics


## Our Results (Cohen-Addad-K'19,Cohen-Addad-K-Lee)

Discrete Version

|  | $k$-means <br> $(\mathrm{JCH})$ | $k$-median <br> $(\mathrm{JCH})$ | $k$-means <br> $(\mathrm{UGC})$ | $k$-median <br> $(\mathrm{UGC})$ |
| :--- | :---: | :---: | :---: | :---: |
| $\ell_{1}$-metric | 3.94 | 1.73 | 1.56 | 1.14 |
| $\ell_{2}$-metric | 1.73 | 1.27 | 1.17 | 1.06 |
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& k \text {-means in } \ell_{2} \text {-metric } \approx 1.36(\mathrm{JCH}), 1.07(\mathrm{UGC}) \\
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Key Ingredient: Hard Instances of Max-Coverage with large girth

## Key Takeaways

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## THANK <br> YOU!

