## A Parameterized Framework for Hardness of Approximation

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Joint work with


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(Shanghai University of
Finance and Economics)


Pasin Manurangsi (UC Berkeley)

## Dominating Set Problem


$G(V, E)$

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$S \subseteq V$ is a Dominating Set of $G$ if $\forall u \in V$ :
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$k$-Dominating Set
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$\mathrm{W}[2] \longleftarrow$
$k$-Clique
$\mathrm{W}[1] \longleftarrow$
$k$-Vertex Cover
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There exists $\delta>0$ such that no algorithm can solve 3-CNF-SAT in $O\left(2^{\delta n}\right)$ time where $n$ is the number of variables.

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For every $\varepsilon>0$, there exists $\ell(\varepsilon) \in \mathbb{N}$ such that no algorithm can solve $\ell$-SAT in $O\left(2^{(1-\varepsilon) n}\right)$ time where $n$ is the number of variables.

FPT Approximability: The problem has a $T(k)$ approximation algorithm running in time $F(k) \cdot \operatorname{poly}(N)$ time.

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Is there some computable function $T$ for which the above problem is in FPT?

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Two decades later:

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There exists a constant $\delta>0$ such that any algorithm that, on input a 3-SAT formula $\varphi$ on $n$ variables and $O(n)$ clauses, can distinguish between $\operatorname{SAT}(\varphi)=1$ and $\operatorname{SAT}(\varphi)<0.9$, must run in time at least $2^{\delta n}$.

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## Our Results

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$k$-SUM Problem: Given $A_{1}, \ldots, A_{k} \subseteq\left[-N^{2 k}, N^{2 k}\right]$ where $N=\sum_{i \in[k]}\left|A_{i}\right|$, determine whether there exist $x_{i} \in A_{i}, \forall i \in[k]$ such that $\sum_{i \in[k]} x_{i}=0$. $k$-SUM Hypothesis: For every integer $k \geq 3$ and every $\varepsilon>0$, no $O\left(N^{\lceil k / 2\rceil-\varepsilon}\right)$ time algorithm can solve the $k$-SUM problem.

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All results obtained in an Unified Proof Framework!

## The Framework



## The Framework



## The Framework



## The Framework



## The Framework



Gap Translation

## The Framework

## Generalization of Distributed PCP Framework [ARW'17]



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## Simultaneous Message Passing (SMP) Model

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# Simultaneous Message Passing (SMP) Model 



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## Simultaneous Message Passing (SMP) Model

Referee

$$
f:\{0,1\}^{m \times k} \rightarrow\{0,1\}
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Randomized Protocols:
Completeness: If $f\left(x_{1}, \ldots, x_{k}\right)=1$ then the referee always accepts
Soundness: If $f\left(x_{1}, \ldots, x_{k}\right)=0$ then the referee accepts with probability $\leq s$

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## $k$-sum to Maxcover: Proof Sketch

$k$-SUM problem: Given $A_{1}, \ldots, A_{k} \subseteq\left[-N^{2 k}, N^{2 k}\right]$ where $N=\sum_{i \in[k]}\left|A_{i}\right|$, determine whether there exist $x_{i} \in A_{i}, \forall i \in[k]$ such that $\sum_{i \in[k]} x_{i}=0$.

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SumZero problem: Player $i$ is given $x_{i} \in\left[-N^{2 k}, N^{2 k}\right]$ as input. Referee wants to determine whether $\sum_{i \in[k]} x_{i}=0$.

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Consider the following randomized protocol for SumZero [Nisan'94]:

1. The players and referee jointly draw a prime $p^{*}$ in $\left\{p_{1}, \ldots, p_{r}\right\}$ ( $\log r$ random bits)
2. Player $i$ sends $x_{i} \bmod p^{*}$ to the referee $\left(\log p^{*}\right.$ bits)
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Input: $m$ bits
Message Length: $O(\log m)$ bits

Randomness: $O(\log m)$ bits
Soundness: $1 / 2$

## k-sum to Maxcover: Proof Sketch (Continued)

Parameters of the SumZero protocol [Nisan'94]:

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(x, z) \in E \Longleftrightarrow z_{j}=x \bmod p_{i}
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\Gamma(U, W, E)
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The referee accepts on random prime $p_{i}$

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Soundness of SumZero protocol


Soundness of MaxCover

## The Framework Revisited



## Product Space Problems

Let $f:\{0,1\}^{m \times k} \rightarrow\{0,1\}$
Problem: $\operatorname{PSP}(f)$
Input: $A_{1}, \ldots A_{k} \subseteq\{0,1\}^{m}$ where $\left|A_{i}\right| \leq N$
Output: Determine if $\exists a_{i} \in A_{i}, \forall i \in[k]$, such that $f\left(a_{1}, \ldots, a_{k}\right)=1$

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## Product Space Problem (PSP)

Let $m: \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ be any function and $\mathscr{F}$ be a family of Boolean functions indexed by $N$ and $k$ as follows: $\mathscr{F}:=\left\{f_{N, k}:\{0,1\}^{m(N, k) \times k} \rightarrow\{0,1\}\right\}_{N, k \in \mathbb{N}}$.

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For the rest of the talk, $m(N, k)=\operatorname{poly}(k) \cdot \log N$.

## The Framework Revisited




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SETH $\Longrightarrow P S P\left(\right.$ Disj $\left.^{\prime}\right)$

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Check for each vertex that the $\ell$ incident edges have assigned the same vertex (equality checking)

## The Framework Revisited



## Maxcover [CCKLMNT'17]



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## Maxcover [CCKLMNT'17]



Determine if $\operatorname{MaxCover}(\Gamma)=1$ or $\operatorname{MaxCover}(\Gamma) \leq s$

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Soundness of $\Pi$
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## Maxcover to Parameterized Dominating Set

## Reduction from MaxCover to $k$-DomSet [CCKLMNT17]

There is a reduction from MaxCover instance $\Gamma=\left(U=\bigcup_{j=1}^{r} U_{j}, W=\bigcup_{j=1}^{k} W_{i}, E\right)$ to a $k$-DomSet instance $G$ such that

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\text { We want } 1 / \varepsilon=\omega(1) \text { and }\left|U_{j}\right|=o(m)
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## Required Parameters of SMP Protocols

Greedily we want SMP protocols:

Input: $m$ bits
Message Length: $O_{k}(1)$ bits $\quad$ Soundness: $1 / 2$

## SMP Protocol for $k$-sumZero

SMP Protocol of Nisan [Nisan'94]:
Input: $m$ bits
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SMP Protocol of Viola [Viola'15]:
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## SMP Protocol for $k$-multiequality

Idea: Use any binary code of constant rate and distance $\delta$

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SMP Protocol Parameters:

Input: $m$ bits
Message Length: $O(1)$ bits

Randomness: $O(\log m)$ bits
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## SMP Protocol for $k$-disjointness

A straightforward extension of Rubinstein's two-party protocol [ ${ }^{\prime}{ }^{\prime} 18, \mathrm{ARW}^{\prime}{ }^{\prime}{ }^{7}, \mathrm{AW}^{\prime}{ }^{\prime}{ }^{9}$ ]

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## Good Pointwise Product (GPP) Codes

Let $q$ be a prime power and $k \in \mathbb{N}$. A code $C$ over $\mathbb{F}_{q}$ is said to be a $q$-GHP code if there exists a constant $\delta(k)>0$ such that the following holds.
© $C$ is systematic and can be encoded efficiently.
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Advice: $O_{k}(m / T \log q)$ bits
Message Length: $T \log q$ bits

Randomness: $O_{k}(\log m)$ bits
Soundness: $1-\delta$

## SMP Protocol for $k$-disjointness (continued)

SMP Protocol Parameters:

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Let $\ell \in \mathbb{N}$ and $q$ be a prime number in $[4 \ell, 8 \ell)$. Then, there exists a $q$-GPP code of message length $\ell$.

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## Algebraic Geometric Codes [GS'96, SAKSD'01]

There exists a constant $c \in \mathbb{N}$ such that for any prime number $q$ greater than $c$ there is a $q^{2}$-GPP code for every message length $\ell \in \mathbb{N}$.

## Recap of the Results

© Any $T(k)$ approximation is W[1]-hard
© No $T(k)$ approximation algorithm in $N^{o(k)}$ time, assuming ETH
© No $T(k)$ approximation algorithm in $N^{k-\varepsilon}$ time, assuming SETH
© No $T(k)$ approximation algorithm in $N^{\lceil k / 2\rceil-\varepsilon}$ time, assuming $k$-SUM Hypothesis

## Summary of the Framework



## Important Open Questions

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## Important Open Questions

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© Parameterized Clique is W[1]-complete. Can we show every $T(k)$ approximation is also $\mathrm{W}[1]$-hard? Can we show 1.01 approximation is $\mathrm{W}[1]$-hard?

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© Conceptually/Philosophically can we say something about the various time hypotheses?

## THANK <br> YOU!

## The Framework



